

# HAMILTON, BROOK, SMITH & REYNOLDS, P.C.

**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**  
*(Only for new nonprovisional applications under  
37 C.F.R. 1.53(b))*

Attorney Docket No. 3556.1000-003

First Named Inventor or Application Identifier Darrell J. King

Express Mail Label No. EV 215729958 US

Title of Invention

SYSTEM AND METHOD FOR DETERMINING AND BALANCING STATE OF CHARGE AMONG SERIES CONNECTED ELECTRICAL ENERGY STORAGE UNITS

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO: Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

22141 U.S.P.T.O.  
10/7/17309

111903

1. [ ] Fee Transmittal Form <i>(Submit an original, and a duplicate for fee processing)</i>	6. [ ] Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
2. [X] Specification <b>Total Pages [ 39 ]</b> <i>(preferred arrangement set forth below)</i>	a. [ ] Computer Readable Form
- Descriptive title of the invention	b. [ ] Paper Copy (identical to computer copy)
- Cross References to Related Applications	[ ] Pages
- Statement Regarding Fed sponsored R & D	c. [ ] Statements verifying identity of above copies
- Reference to sequence listing, a table, or a computer program listing appendix	
- Background of the Invention	
- Brief Summary of the Invention	
- Brief Description of the Drawings <i>(if filed)</i>	
- Detailed Description	
- Claim(s)	
- Abstract of the Disclosure	
3. [x] Drawing(s) (35 U.S.C. 113) <b>Total Sheets [ 18 ]</b> [ ] Fig. of the Drawings for Publication [ ] [ X ] No Figure to be Published	7. [ ] Assignment Papers (cover sheet & documents) [ X ] Assignee - TIAX, LLC Cambridge, MA
4. [ ] Oath or Declaration <b>Total Pages [ ]</b>	8. [ ] Power of Attorney [ ] 37 C.F.R. 3.73(b) Statement
a. [ ] Newly executed (original or copy)	9. [ ] English Translation Document <i>(if applicable)</i>
b. [ ] Copy from a prior application (37 C.F.R. 1.63(d)) <i>(for continuation/divisional with Box 17 completed)</i>	10. [ ] Information Disclosure Statement (IDS)/PTO-1449 [ ] Copies of IDS Citations
i. [ ] <u>DELETION OF INVENTOR(S)</u> Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. 1.63(d)(2) and 1.33(b).	11. [ ] Preliminary Amendment
5. [ ] CD-ROM or CD-R in duplicate, large table or Computer Program <i>(Appendix)</i>	12. [X] Return Receipt Postcard
	13. [ ] Small Entity Statement(s)
	14a. [ ] Foreign Priority Claim under 35 U.S.C. §119 or 365
	14b. [ ] Certified Copy of Priority Document(s)
	15. [ ] Nonpublication Request <i>(check parent application)</i>
	16. [ ] Other _____

## ACCOMPANYING APPLICATION PARTS

17. If a CONTINUING APPLICATION, check appropriate box; supply the requisite information.

[ ] Continuation [ ] Divisional [ ] Continuation-in-part (CIP) of prior application No.:

Prior application information: Examiner: Group Art Unit:

The entire disclosure of the prior application is considered a part of the disclosure of the accompanying application and is hereby incorporated by reference.  
*(Add standard Related Applications section with incorporation by reference to specification or update same)*

## 18. CORRESPONDENCE ADDRESS

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Submitted by Typed or Printed Name	Todd A. Gerety		Reg. Number	51,729